

Le Havre



On the Water

(PLEASE CONSULT WITH YOUR ATTORNEY AND ACCOUNTANT PRIOR TO MAKING ANY CHANGES TO YOUR STOCK)

STOCK CHANGE PROCEDURE & REQUIREMENTS

1. The following documents and procedures will be required to process your application:
(where applicable)
 - Last 2 Years Federal Tax Returns (copies)
 - Last 2 Years W-2 (copies)
 - Employment Verification Letter
 - Last four (4) pay stubs
 - Proof of relationship (i.e. birth certificate)
 - Divorce judgment of Separation Agreement
 - Death certificate
 - Reason for Stock Change
 - Application Form
 - Current Stock Certification
 - Application Fee \$100.00
 - Credit check for current shareholder(s), no fee
 - Credit & Criminal history check fee is for each additional applicant to be added. The fee is \$52.00 each (check made payable to Tenant Data Verification).
 - (Refund of application fee, if denied)
2. The above documents will be stamped for processing upon delivery to the Management office.
3. After a review of all necessary paperwork, you will be contacted personally for the date, place and time of the interview (if necessary). The interview lasts approximately 10-15 minutes. Personal and pertinent questions relating to your proposed occupancy will be asked and you will have an opportunity to ask questions.
Please Note: Any shareholders added to the Stock Certificate MUST attend the interview.
4. After you receive approval, please contact Joanna Jiang at Hankin & Mazel, 60 Cutter Mill Road Suite 505, Great Neck NY 11021, (attorney for Le Havre Owner's Corp.), to arrange for a closing. Phone# 212-349-1668 or 516-499-5800; e-mail: jjiang@hankinmazel.com.
5. No closing will be fixed until all of the above details are completed in every respect. Counsel for the Corporation will arrange for a closing date, time and place after completion of the above procedures.
6. All financing must be through a bank or other lending institution.

ADD NAME TO STOCK: _____ RELATIONSHIP: _____

DELETE NAME FROM STOCK: _____ RELATIONSHIP: _____

REASON FOR STOCK CHANGE: _____

INTERVIEW REQUIRED: YES _____ NO _____

CURRENT SHAREHOLDER(S) NAME: _____ S.S.# _____

NAME: _____ S.S.# _____

ADDRESS: _____ FROM: _____ TO: _____

TELEPHONE: HOME#: _____ BUSINESS#: _____

PROSPECTIVE SHAREHOLDER(S) NAME: _____ S.S.# _____

CURRENT ADDRESS: _____ FROM _____ TO _____

_____ FROM _____ TO _____

PREVIOUS ADDRESS: (IF LESS THAN THREE YEARS)

_____ FROM _____ TO _____

PROSPECTIVE SHAREHOLDER(S) BIRTH DATE: _____ DATE OF MARRIAGE: _____

EDUCATION: _____
HIGH SCHOOL COLLEGE DEGREE

IF VETERAN: _____
DATE OF SERVICE BRANCH SERIAL #

PRESENT LANDLORD OF PROSPECTIVE SHAREHOLDER:

ADDRESS: _____ TEL#: _____

EMPLOYMENT STATUS OF PROSPECTIVE SHAREHOLDER(S):

EMPLOYERS NAME: _____ TEL#: _____

EMPLOYERS ADDRESS: _____

POSITION OCCUPIED: _____ TYPE OF BUSINESS: _____

NAME OF SUPERVISOR: _____ DATE OF HIRE: _____

(IF PRESENT EMPLOYMENT IS LESS THAN 2 YEARS, ATTACH SEPARATE SHEET DETAILING PRIOR EMPLOYMENT)

EMPLOYMENT STATUS OF PROSPECTIVE SHAREHOLDER(S):

EMPLOYERS NAME: _____ TEL#: _____

EMPLOYERS ADDRESS: _____

POSITION OCCUPIED: _____ TYPE OF BUSINESS: _____

NAME OF SUPERVISOR: _____ DATE OF HIRE: _____

(IF PRESENT EMPLOYMENT IS LESS THAN 2 YEARS, ATTACH SEPARATE SHEET DETAILING PRIOR EMPLOYMENT)

This application represents that the statements, information, and descriptions set forth above are true, correct, and complete. That Le Havre Owners Corp. (hereinafter referred to as lessor), will rely on the information furnished by the applicant and the lessor may, at its option, investigate and verify such information before or after executing a proprietary lease to the applicant. The applicant consents to such investigation and waives any claim against the lessor based upon the fact that such an investigation is being conducted. The applicant further acknowledges notice from the lessor and the proprietary lease to be executed by the lessor; may be cancelled by the lessor in the event a statement, information, or description furnished by the applicant above is false. The applicant herein authorized the lessor or its agents to verify at the sources any of the information furnished herein. The applicant understands that this proposed occupancy is subject to approval by the board of directors.

CURRENT SHAREHOLDER(S): _____

ADDRESS: _____

DATE: _____

PROSPECTIVE SHAREHOLDER(S): _____

PROSPECTIVE SHAREHOLDER(S): _____

ADDRESS: _____

DATE: _____

AUTHORIZATION TO OBTAIN A CREDIT REPORT

IN ORDER TO COMPLY WITH THE PROVISIONS OF SECTION 6.06 (A) OF THE FEDERAL FAIR CREDIT REPORTING ACT, I AUTHORIZE YOU TO RETAIN A CREDIT REPORTING AGENCY TO FURNISH A CREDIT REPORT.

I HEREBY RELEASE THE INDIVIDUAL, COMPANY, OR INSTITUTION AND ALL INDIVIDUALS CONNECTED THEREWITH FROM ALL LIABILITY FOR ANY DAMAGE WHATSOEVER INCURRED IN FURNISHING SUCH INFORMATION.

Print Name: _____

Signature: _____

Social Security #: _____

Address: _____

Date: _____

AUTHORIZATION TO OBTAIN A CRIMINAL REPORT

I HEREBY AUTHORIZE ANY INDIVIDUAL, COMPANY, OR INSTITUTION TO RELEASE TO LE HAVRE OWNER'S CORP. AND/OR ITS REPRESENTATIVES ANY AND ALL INFORMATION THAT THEY HAVE CONCERNING ANY CRIMINAL ACTIVITY AND SEX OFFENDER HISTORY. I HEREBY RELEASE THE INDIVIDUAL, COMPANY, OR INSTITUTION AND ALL INDIVIDUALS CONNECTED THEREWITH FROM ALL LIABILITY FOR ANY DAMAGE WHATSOEVER INCURRED IN FURNISHING SUCH INFORMATION.

Print Name: _____ Date of Birth: _____

Address: _____

City: _____ State: _____ Zip Code: _____

Social Security #: _____

Signature: _____